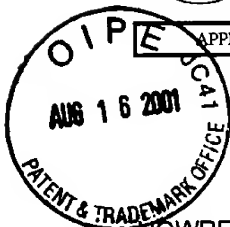




## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,029	12/21/2000	Ann Union	11362.0031.NPUS00 INNS:03

HOWREY SIMON ARNOLD & WHITE, LLP  
750 Bering Drive  
Houston, TX 77057-2198

CONFIRMATION NO. 3297

## FORMALITIES LETTER



\*OC00000006364717\*

Date Mailed: 08/01/2001

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

*Filing Date Granted*

The U.S. Patent and Trademark Office has received your reply on 02/14/2001 to the Notice to File Missing Parts (Notice) mailed 02/12/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

- Total additional claim fee(s) for this application is \$18.
  - \$18 for 3 total claims over 20.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- The balance due by applicant is \$ 18.

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*A copy of this notice **MUST** be returned with the reply.*

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Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ann Union

Henri Moereels

Lydie Meheus

Serial No.: 09/747,029

Filing Date: December 21, 2000

For: PEPTIDES DESIGNED FOR  
DIAGNOSIS AND TREATMENT  
OF RHEUMATOID ARTHRITIS

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Group Art Unit: 1635

Examiner:

Atty. Dkt.: INNS:031

11362.0031NPUS00

RESPONSE TO NOTICE TO FILE MISSING PARTS of a NONPROVISIONAL  
APPLICATION DATED FEBRUARY 12, 2001

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING

37 C.F.R. 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents and Trademarks, Washington, D.C. 20231, on the date below:

3-28-01

Date

Jennifer L. Purnell

Jennifer L. Purnell

Sir:

In response to the Notice to File Missing Parts dated February 12, 2001 there are enclosed herewith:

- (a) A copy of the Notice to File Missing Parts;
- (b) Declarations executed on behalf of Ann Union, Henri Moereels and Lydie Meheus;
- (c) A signed Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney;
- (d) A Preliminary Amendment and Priority Claim;
- (e) Certified copies of Priority Applications EP99870280.7 and EP00870195.5;
- (f) Sequence listing in ASCII computer readable format and paper copy and Statement as Required under 37 C.F.R. § 1.821(f); and



- (g) Information Disclosure Statement, PTO 1449 form and References B1-B4 and C1-C7.

The Assignment from Ann Union, Henri Moereels and Lydie Meheus to Innogenetics N.V. is being filed concurrently under separate cover to Box Assignment.

\* \* \*

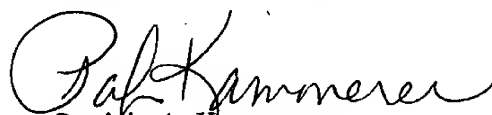
The Commissioner is authorized to deduct the following fees from Deposit Account No. 01-2508/11362.0031.NPUS:

FOR	Large Entity
Basic Filing Fee	\$ 710.00
Total Claims following Preliminary Amendment 22 - 20 = 2	x \$18 = \$ 36.00
Multiple Dependent Claim(s)	\$ 270.00
Surcharge for late filing of Declaration	\$ 130.00
<b>TOTAL CLAIMS FEES</b>	<b>\$ <u>1146.00</u></b>

Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Commissioner is authorized to deduct said fees from Deposit Account No. 01-2508/11362.0031.NPUS.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

Respectfully submitted,



Patricia A. Kammerer  
Reg. No. 29,775  
Attorney for Assignee  
INNOGENETICS N.V.

HOWREY SIMON ARNOLD & WHITE, LLP  
750 Bering Drive  
Houston, TX 77057-2198  
(713) 787-1438

Date: March 28, 2001

Please indicate receipt of the below-identified paper:

<input type="checkbox"/>	New Application For:	<input type="checkbox"/>	Foreign priority already claimed	<input type="checkbox"/>	Continuation	<input type="checkbox"/>	CIP	<input type="checkbox"/>	Divisional	<input type="checkbox"/>	CPA	<input type="checkbox"/>	Drawings:	<input type="checkbox"/>	Sheets	<input type="checkbox"/>	Final Rejection		
<input checked="" type="checkbox"/>	Response to Office Action Dated:	February 12, 2001																	
<input checked="" type="checkbox"/>	Other: Inventors Declarations; Power of Attorney; Preliminary Amendment and Priority Claims; Certified copies of Priority Application EP99870280.7 and EP00870195.5; Sequence Listing in ASCII Computer Readable Format and paper copy and Statement as Required under 37 C.F.R. §1.821(f); Information Disclosure Statement, PTO 1449 form and References B1-B4 and C1-C7.																		
<input type="checkbox"/>	Assignment Enclosed	<input checked="" type="checkbox"/>	Cert. of Timely Mailing														<input type="checkbox"/>	Exp. Mail:	

### IDENTIFICATION OF APPLICATION

Serial No.: 09/747,029	
Title: PEPTIDES DESIGNED FOR DIAGNOSIS AND TREATMENT OF RHEUMATOID ARTHRITIS	
Applicant: Ann Union, Henri-Moereels, Lydie Meheus	Attorney: Patricia A. Kammerer
Client: Innogenetics N.V.	Firm File No.: 11362.0031.NPUS00 (INNS:031)
Mailed: March 28, 2001	Filed: Due Date: April 12, 2001

**BUSINESS REPLY MAIL**

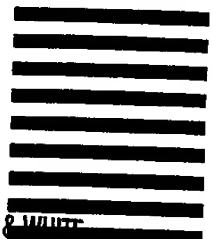
FIRST-CLASS MAIL

PERMIT NO 8336

HOUSTON TX

POSTAGE WILL BE PAID BY ADDRESSEE

NO POSTAGE  
NECESSARY  
IF MAILED  
IN THE  
UNITED STATES



POSTCARD RECEIPT RECEIVED?

ATTN DOCKETING  
ARNOLD WHITE DURKEE  
PO BOX 4433  
HOUSTON TX 77210-9624

REC'D HOWREY SIMON ARNOLD & WHITE

APR 11 2001

HOUSTON DOCKETING DEPT.



EXHIBIT

C

WE HEREBY DECLARE THAT ALL STATEMENTS MADE OF OUR OWN KNOWLEDGE ARE TRUE AND THAT ALL STATEMENTS MADE ON INFORMATION AND BELIEF ARE BELIEVED TO BE TRUE; AND FURTHER THAT THESE STATEMENTS WERE MADE WITH THE KNOWLEDGE THAT WILLFUL FALSE STATEMENTS AND THE LIKE SO MADE ARE PUNISHABLE BY FINE OR IMPRISONMENT, OR BOTH, UNDER SECTION 1001 OF TITLE 18 OF THE UNITED STATES CODE AND THAT SUCH WILLFUL FALSE STATEMENTS MAY JEOPARDIZE THE VALIDITY OF THE APPLICATION OR ANY PATENT ISSUED THEREON.

Inventor's Full Name	Aïnn (nmi)	UNION
Inventor's Signature		
Date: 8/2/2001	Country of Citizenship: BELGIUM	
	Savooien 15 B-9880 Aalter BELGIUM	
Post Office Address, if different from above	same as above	

Inventor's Full Name	Henri (nmi)	MOEREELS
Inventor's Signature		
Date: 7-02-01	Country of Citizenship: BELGIUM	
	Sneeuwbesstraat B-2180 Ekeren BELGIUM	
Post Office Address, if different from above	same as above	

Inventor's Full Name	Lydie (nmi)	MEHEUS
Inventor's Signature		
Date: 20-02-01	Country of Citizenship: BELGIUM	
	Gontrode Heirweg 120 B-9820 Merelbeke BELGIUM	
Post Office Address, if different from above	same as above	



0590  
0420  
b3c0  
\$10

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ann Union

Henri Moereels

Lydie Meheus

Serial No.: 09/747,029

Filing Date: December 21, 2000

Confirmation No: 3297

For: **PEPTIDES DESIGNED FOR  
DIAGNOSIS AND TREATMENT  
OF RHEUMATOID ARTHRITIS**

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§ Group Art Unit: 1635  
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§ Examiner:  
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§ Atty. Dkt.: INNS:031  
§ 11362.0031NPUS00  
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§  
§

**RESPONSE TO NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)**

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

CERTIFICATE OF MAILING 37 C.F.R. 1.8	
I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents and Trademarks, Washington, D.C. 20231, on the date below:	
8-13-01 Date	Jennifer L. Purnell Jennifer L. Purnell

Sir:

Applicants contest the propriety of the Notice of Incomplete Reply (Nonprovisional) mailed August 1, 2001 (copy attached hereto).

Applicants assert that a complete and timely response was provided for the Notice to File Missing Parts dated February 12, 2001. A copy of the Response, which bears a certificate of timely mailing under 37 C.F.R. 1.8 dated March 28, 2001, is attached as Exhibit A.

In response to the Notice to File Missing Parts, Applicants provided, among other documents, a **Declaration executed by Ann Union, Henri Moereels and Lydie Meheus**. A copy of the Declaration is attached hereto as Exhibit B.

A return postcard stamped by the Patent Office on April 02, 2001 acknowledged receipt of Applicants' submission, including the Declaration. A copy of the postcard is attached hereto as Exhibit C.

Further, the Commissioner was authorized to deduct all the fees due for the application from Deposit Account No. **01-2508/11362.0031.NPUS**. See page 2 of the Response to the Notice to File Missing Parts (Exhibit A).

Should any additional fees under 37 C.F.R. §§ 1.16 to 1.21 be required for any reason relating to the enclosed materials, the Commissioner is authorized to deduct said fees from Deposit Account No. **01-2508/11362.0031.NPUS**.

Please date stamp and return the accompanying postcard to evidence receipt of these documents.

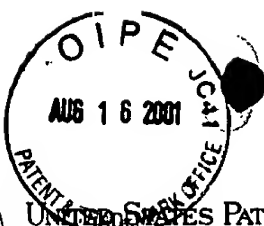
Respectfully submitted,



Patricia A. Kammerer  
Reg. No. 29,775  
Attorney for Assignee  
INNOGENETICS N.V.

HOWREY SIMON ARNOLD & WHITE, LLP  
750 Bering Drive  
Houston, TX 77057-2198  
(713) 787-1438

Date: August 13, 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/747,029	12/21/2000	Ann Union	11362.0031.NPUS00 INNS:03

CONFIRMATION NO. 3297

## FORMALITIES LETTER



\*OC000000005753813\*

HOWREY SIMON ARNOLD & WHITE, LLP  
750 Bering Drive  
Houston, TX 77057-2198

Date Mailed: 02/12/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$324.
  - \$54 for 3 total claims over 20.
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1164.

*A copy of this notice **MUST** be returned with the reply.*

*vet*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/17/2001 HN00R1 00000074 012508 09747029  
 01 FC:103 54.00 CH  
 02 FC:104 270.00 CH  
 03 FC:105 130.00 CH  
 Adjustment date: 12/20/2001 YGIZAW  
 08/17/2001 HN00R1 00000074 012508  
 01 FC:103 54.00 CR  
 02 FC:104 270.00 CR